1	Efferent axonal projections of the habenular complex in the fire-
2	bellied toad Bombina orientalis
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20 Abstract

21 The habenular complex and its associated axonal pathways are often thought of as 22 phylogenetically conserved features of the brain among vertebrates despite the fact that detailed 23 studies of this brain region are limited to a few species. Here, the gross morphology and axonal 24 projection pattern of the habenular complex of an anuran amphibian, the fire-bellied toad 25 *Bombina orientalis*, was studied to allow comparison with the situation in other vertebrates. 26 Axonal pathways were traced using biocytin applications in dissected brain preparations. The 27 results show that the rostral part of the left dorsal nucleus is enlarged in this species, while the 28 rostral ventral nucleus and caudal parts do not show left-right size differences. Biocytin 29 applications revealed widespread axonal projections of the habenular complex to the posterior 30 tuberculum/dorsal hypothalamic region, ventral tegmentum, interpeduncular nucleus (IPN), and 31 raphe median. Additionally, axons targeting the lateral hypothalamus originated from the ventral 32 habenular nuclei. The results also suggest an asymmetrical pattern of projection to the IPN in the 33 rostral part of the habenular complex, where the left habenula targeted preferentially the dorsal 34 IPN while the right habenula targeted preferentially the ventral IPN. The caudal habenular nuclei 35 showed no asymmetry of projections as both sides targeted the ventral IPN. Comparison of the 36 habenular complex axonal connectivity across vertebrates argues against strong phylogenetic 37 conservation of the axonal projection patterns of different habenular nuclei.

39 1. Introduction

40 The habenula is a brain structure found in the dorsal diencephalon, or roof part of prosomere 2 according to the prosomeric model of brain development (Puelles and Rubenstein 2003). This 41 42 brain region has recently been implicated in the processing of information related to aversive 43 events (Matsumoto and Hikosaka 2009; Stamatakis and Stuber 2012; Amo et al. 2014; Lawson 44 et al. 2014; Hennigan et al. 2015). The habenula often displays left-right asymmetry in size, 45 neurochemistry and/or connectivity (Concha and Wilson 2001; Villalón et al. 2012). Medial and 46 lateral nuclei have been recognized in the habenular complex of mammals, and these nuclei can 47 be further subdivided into subnuclei based on connectivity or neurochemistry (Herkenham and 48 Nauta 1977; 1979; Andres et al. 1999; Geisler et al. 2003; Aizawa et al. 2011; Wagner et al. 49 2014). Nuclei homologous to the mammalian medial and lateral nuclei have been proposed in the 50 habenular complex of other vertebrate groups (Kemali and Làzàr 1985; Amo et al 2010; Aizawa 51 et al 2011; Stephenson-Jones et al 2012).

52 Across vertebrates, the habenular complex and its projections are thought to be very 53 conservative features of the brain (Butler and Hodos 1996). However, this claim is based on few 54 examples of detailed studies of habenular complex anatomy in different vertebrates. For 55 example, studies providing an account of the axonal projections of the different habenular nuclei 56 are limited to laboratory rodents (Herkenham and Nauta 1979; Kim 2009; Quina et al. 2015), one 57 species of lizard (Distel and Ebbesson 1981), the zebrafish (Aizawa et al. 2005; Amo et al. 58 2010), and one species of lamprey (Stephenson-Jones et al. 2012). Efferent projections of the 59 avian habenular complex have not been studied and studies in amphibians are incomplete (see 60 below). Despite this paucity of studies, differences in habenular complex axonal projection 61 patterns have already been noted across vertebrates. First, Bianco and Wilson (2009) observed

that the lateral habenular nuclei appear to show a less evolutionarily conserved pattern of axonal projections compared to the medial habenular nuclei. Second, Kuan et al. (2007) proposed that the dorsoventral asymmetry of the habenula to midbrain projection is a unique feature of teleost fishes. Thus, evolutionary changes in the habenular complex could have been underestimated and studies of representative species within understudied groups of vertebrates could prove helpful in understanding the evolution of habenular complex connectivity.

68 The present study aimed to characterize in detail the axonal projections of the habenular 69 complex in an amphibian, the anuran *Bombina orientalis*, in order to compare with other 70 vertebrate groups. B. orientalis is particularly interesting for this purpose because it belongs to a 71 basal group in anuran phylogeny (Pyron and Wiens 2011) and could provide useful information 72 to elucidate the tetrapod brain morphotype (e.g. Northcutt 1995) when considering that the more 73 basal caecilians and urodeles underwent substantial secondary brain simplification during their 74 evolutionary history (Roth et al. 1997; Schmidt and Wake 1997). Experimental studies of 75 habenular complex connections have previously been conducted in the frog Rana esculenta 76 (Kemali et al. 1980; Kemali and Guglielmotti 1982; Kemali and Làzàr 1985; Guglielmotti and 77 Fiorino 1998), but a precise picture of the projection patterns of different nuclei has yet to be 78 achieved in any amphibian species. In frogs, the habenular complex displays dorsal and ventral 79 nuclei on each side of the brain and the left dorsal nucleus shows conspicuous lateral and medial 80 divisions. The studies of *R. esculenta* concluded that both dorsal and ventral nuclei send axonal 81 projections to the interpeduncular nucleus (IPN) and some axons continue their course caudally 82 beyond this brain region. However, the region of termination of these axons has not been 83 identified. Kuan et al. (2007) also showed that the dorsal habenula of larval amphibians (anuran 84 *Rana clamitans* and urodele *Ambystoma maculatum*) send axons to the IPN. There remains a

need to ascertain the targets of axons projecting beyond the IPN in amphibians and to verify the
presence or absence of projections to the hypothalamus and rostral ventral midbrain present in
other vertebrates (e.g. rat: Herkenham and Nauta 1979; lizard: Distel and Ebbesson 1981;
lamprey: Stephenson-Jones et al. 2012). This was attempted by using tract tracing of habenular
pathways with biocytin; a sensitive tracer substance that can be used for precise applications in *in vitro* brain preparations of amphibians.

91

92 **2. Materials and methods**

93 Animals

94 Forty-six adult fire-bellied toads of mixed sexes were used in the present study. The animals 95 were bought from a local supplier (National Reptile Supply, Mississauga, ON) and held at a 96 temperature of 21°C under a photoperiod of 12:12-h light:dark (lights on at 7:00h). The toads 97 were housed in groups in glass tanks $(37 \times 22 \times 25 \text{ cm})$ with gravel substrate, broken clay pots, 98 and flat stones for cover. They had continuous access to water and were fed crickets (Acheta 99 domesticus) lightly dusted with calcium and vitamin powder ad libitum once weekly. The 100 experimental procedures were approved by the University of Guelph animal care committee 101 under the guidelines of the Canadian Council on Animal Care.

102

103 **Procedures**

All experiments were carried out *in vitro* in isolated brain preparations. After deep anaesthesia
by immersion in a solution of 0.1% tricaine methanesulfonate (Argent Chemical Laboratories,
Redmond, WA), the animals were quickly decapitated, the lower jaw was removed, and the skull
was opened from the roof of the mouth to enable brain dissection. The dissection was performed

108 in Ringer's solution consisting of Na⁺ 129 mM, K⁺ 4 mM, Ca²⁺ 2.4 mM, Mg²⁺ 1.4 mM, Cl⁻ 115 mM, HCO3⁻ 25 mM, glucose 10 mM, bubbled with 95% O²/5% CO² until a pH of 7.3 was 109 110 achieved. Tract tracing of neural pathways was achieved in two ways: 1) by manual application 111 of biocytin crystals (Sigma-Aldrich B4261, St. Louis, MO) directly to the lightly lesioned 112 surface of the brain outside of the Ringer's bath, and 2) by iontophoretic injection of a 2% 113 solution of biocytin dissolved in 0.3M KCl. Lesioning of the brain surface was achieved using a 114 pulled glass pipette with a broken tip. Iontophoresis was achieved by loading a pulled glass 115 pipette (tip broken at 10-15 μ m) with the solution and passing a pulsed current of 4 μ A (on/off 116 every 5s) for 10-20 min. Additionally, labeling of single neurons by intracellular injections of the 117 2% biocytin solution was conducted in an attempt to clarify some axonal projection patterns. For 118 the latter, brains were pinned down in a chamber perfused with oxygenated Ringer's solution (6) 119 ml/min) and pulled micropipettes with sharp tips were advanced in brain tissue while a 200 msec 120 hyperpolarizing current of 0.2 nA was applied every second. Current was injected and potential 121 was monitored using an electrometer (Duo 773, World Precision Instruments, Sarasota, 122 FL,USA). Electrode impedance ranged between 80-120 M Ω . Penetration of neurons was 123 identified by rebound action potential activity and followed by injection of biocytin by passing a 124 pulsed current of 1 nA for 4 min.

After biocytin applications, the brains were stored in oxygenated Ringer's solution for 5-6 hours at room temperature and then at 4°C overnight. On the next day, the brains were fixed in 2% paraformaldehyde and 2% glutaraldehyde, and then 50-µm-thick transverse sections were cut on a VT1200 vibrating microtome (Leica Biosystems, Wetzlar, Germany). Biocytin was visualized by means of an avidin-biotin horseradish peroxidase complex (Vector Laboratories, Burlingame, CA) by using diaminobenzidine (Sigma) as chromogen with heavy metal

131 intensification achieved by adding 0.03% nickel sulphate and cobalt chloride to the solution

132 (Adams, 1981). Sections were lightly counterstained with cresyl violet, dehydrated in ethanol,

133 cleared in xylene, and coverslipped before examination under the microscope.

134

135 Analysis

136 The assessment of biocytin application sites and charting of retrograde labeling and axonal 137 projections was done using a DM1000 light microscope equipped with a drawing tube (Leica). 138 The intensity of axonal projections and retrograde labeling was assessed qualitatively by a single 139 observer (FL). Axonal projections were described as notable or weak, with weak labeling 140 corresponding to the presence of only one or two axons with limited varicosities in a given brain 141 region and notable labeling corresponding to the presence of multiple axons with abundant 142 varicosities along their length in a brain region. Retrograde labeling was described as strong, 143 moderate or weak depending on the number of cell bodies labeled in a given brain region, as 144 exemplified in Figure S1. Photomicrographs were scanned using an Eclipse 90i upright 145 microscope (Nikon, Tokyo, Japan) equipped with a Retiga 2000R digital camera (QImaging, 146 Surrey, BC), modified (sized and cropped) and optimized for presentation (brightness and 147 contrast) using Adobe Photoshop CS3 (Adobe Systems, San Jose, CA). Analysis of potential 148 asymmetry of the habenular complex was done by measuring the surface area of habenular 149 divisions on serial sections using the contour drawing function in Neurolucida version 11.02.1 150 (MBF Bioscience, Williston, VT, USA) on the Eclipse 90i microscope. Surface areas were 151 converted to volumes by multiplying values by section thickness. Comparison of the left and 152 right habenular volumes involved all sections comprising the habenular complex. Such 153 comparison of the rostral habenula involved the 4 most rostral sections, while the caudal

154	habenula involved the 3 most caudal sections. Statistical analyses were done in Prism version
155	5.04 (GraphPad Software Inc., San Diego, CA). The neuroanatomical framework used for
156	presentation of the data is based on published accounts in Rana perezi (Puelles et al. 1996), Rana
157	catesbeiana (Neary and Northcutt 1983), and the fire-bellied toad (Laberge and Roth 2007;
158	Laberge et al. 2008).

160 **3. Results**

161 Asymmetry of habenular complex

162 Figure 1 illustrates the extent of the habenular complex in the fire-bellied toad brain. The 163 specimen chosen for this purpose received a large application of biocytin that covered part of the 164 ventral tegmentum (vTEG) and the dorsoventral extent of IPN and rostral raphe median 165 (mRaphe). The abundant retrograde labeling that resulted from this tracer application allowed a 166 clear outline of the extent of the habenular complex. The labeling shows equivalent staining in 167 the left and right caudal habenula, but more prominent staining in the left rostral habenula. The 168 higher magnification inset included in Figure 1F shows details of the dorsal and ventral nuclei on 169 each side of the brain as well as the lateral and medial divisions visible in the middle left dorsal 170 habenula nucleus. Such divisions in the left dorsal habenula nucleus were also noted in the frog 171 Rana esculenta (Kemali and Làzàr 1985; Guglielmotti and Fiorino 1998). The dorsal nuclei 172 (especially in their middle part) are structured as cellular rims surrounding central neuropils, 173 while ventral nuclei are made of homogeneously distributed cell bodies. Note that there is high 174 inter-individual variability in the divisions of the dorsal habenular nuclei in their middle part. 175 Five specimens displaying abundant retrograde labeling in the habenular complex were 176 chosen for morphometric analysis of the habenular nuclei on each side of the brain. Note that the

177 volumes of the lateral and medial divisions in the middle left dorsal habenula nucleus were not 178 calculated because their boundaries were difficult to estimate. A two-tailed paired t-test showed that the volume of the left habenula $(0.017 \pm 0.0009 \text{ mm}^3; \text{mean} \pm \text{standard deviation})$ is larger 179 180 than the right habenula ($0.013 \pm 0.0008 \text{ mm}^3$; t₄ = 15.8, P < 0.0001). Further analysis showed no 181 difference between volumes of the left and right caudal habenula (left caudal: 0.0032 ± 0.0005 mm³, right caudal: 0.0027 ± 0.0006 mm³; t₄ = 1.7, P = 0.18) or rostral ventral habenula (left 182 183 rostral ventral: $0.0042 \pm 0.0003 \text{ mm}^3$, right rostral ventral: $0.0044 \pm 0.0003 \text{ mm}^3$; $t_4 = 1.2$, P = 0.30). However, volume of the left rostral dorsal habenula ($0.005 \pm 0.0005 \text{ mm}^3$) was larger than 184 the right rostral dorsal habenula ($0.002 \pm 0.0004 \text{ mm}^3$; $t_4 = 13.9$, P = 0.0002). Therefore, left-185 186 right asymmetry of the habenular complex in the fire-bellied toad is the result of an enlarged 187 rostral part of the dorsal nucleus on the left side.

188

189 Efferent axonal projections of the habenular complex

190 Figure 2 shows a typical example of the axonal projections revealed by biocytin applications 191 directly to the habenular nuclei. In this case, the tracer application involved both dorsal and 192 ventral nuclei along most of the rostrocaudal extent of the habenula on the left side of the brain 193 (Figure 2 A-B, B'). Output axons of the habenular nuclei form the fasciculus retroflexus, which 194 runs from the dorsal diencephalon to the posterior tuberculum and dorsal hypothalamus region 195 on the side ipsilateral to the application site (Figure 2C). Some axonal varicosities are observed 196 near the rostral vTEG and caudal dorsal hypothalamus, but no clear axon branches are seen there 197 (Figure 2D). Abundant varicose axons were seen in the ventral IPN at a more rostral level 198 (Figure 2E) and both ventral and dorsal IPN more caudally in this case (Figure 2F). Finally, 199 varicose axons extended caudally into the ventral part of mRaphe of the medulla oblongata

(Figure 2G). Axons cross over the brain midline multiple times to invade both sides of IPN andthey maintain this central, bilateral location in mRaphe.

202 Table 1 summarizes the results of sixteen anterograde labeling experiments. The extent of 203 these biocytin applications sites is detailed in Figure S2. The majority of biocytin applications to 204 the habenular complex revealed consistent axonal projections to the posterior tuberculum/dorsal 205 hypothalamus region (Figure 3B), rostral vTEG (Figure 3C), IPN (Figure 3D-E) and mRaphe 206 (Figure 3F). Projections to mRaphe were limited to the anterior part of this region. Axonal 207 projections to the contralateral habenula neuropil were often seen, but no obvious pattern 208 suggesting a topographic organization emerged when comparing different applications. 209 Projections to the lateral hypothalamus (IHYP; Figure 3A), and possibly the preoptic area 210 (POA), appear to originate from the ventral habenular nuclei, when taking into account the 211 potential projections due to inclusion in some application sites of parts of the dorsal thalamus 212 which are known to send axons to IHYP/POA (Laberge et al. 2008). Axons found in the 213 commissural pretectum and the median thalamic neuropil may also be due to inclusion of parts 214 of the thalamus in some application sites, but this problem would require further investigation 215 due to a limited sample size of applications displaying such connections.

An asymmetric pattern of axonal projections to the IPN was noticed: while applications to the caudal habenula targeted the ventral part of IPN, applications to the rostral part of the habenula showed a left-right side difference. The rostral habenula on the left side targeted preferentially the dorsal IPN (Figure 3D), while the rostral habenula on the right side targeted preferentially the ventral IPN (Figure 3E). This pattern is reminiscent of the dorsoventral asymmetry of projections of left and right dorsal habenulae in zebrafish (Aizawa et al. 2005; Amo et al. 2010) and contrasts with the symmetrical habenular projections to IPN seen in larval

223 amphibians (Kuan et al. 2007). This dorsoventral asymmetry of projections to IPN in the fire-224 bellied toad was confirmed by intracellular labeling of neurons. In one case, one neuron with its 225 cell body in the rostral part of the left dorsal habenula sent two axons (or axon collaterals) into 226 the ipsilateral fasciculus retroflexus and targeted the whole rostrocaudal extent of IPN in its 227 dorsal part (Figure 4A). In another case, two neurons labeled by the same intracellular injection 228 had their cell bodies in the rostral part of the right dorsal habenula and sent axons that ended in 229 the ventral part of IPN (Figure 4B). Few successful intracellular injections of habenular complex 230 neurons were obtained due to difficulties with intracellular recording in this brain region using a 231 dorsal approach in intact brain preparations.

Biocytin is also taken up at synaptic sites and moved back toward the cell body to 232 233 produce retrograde labeling of neurons. Retrograde labeling resulting from applications of 234 biocytin to the habenular complex is summarized in Table S1. This analysis showed that the 235 main afferent brain region to the habenular complex in the fire-bellied toad is the bed nucleus of 236 the pallial commissure/thalamic eminence continuum (12 out of 16 applications); a projection 237 that was previously described in Laberge and Roth (2007). In this previous work, a ventrolateral 238 thalamic cell group was additionally retrogradely labeled following large tracer applications to 239 the habenular complex. However, retrograde labeling in the ventrolateral thalamus was only seen 240 in 4 large tracer applications out of 16 in the present study, suggesting that afferents from the 241 ventrolateral thalamic cell group might be circumscribed to a small portion of the habenular 242 complex or that they terminate or travel nearby the habenula without entering it.

Table 2 shows retrograde labeling of neurons in the habenular complex following
biocytin applications to other brain regions (n = 21 experiments). The extent of these biocytin
applications sites is detailed in Figure S3 and the qualitative scale of retrograde labeling intensity

246 is illustrated by an example in Figure S1. These results confirm the strong connection between 247 the caudal habenula and the IPN/mRaphe. In accordance with the projection pattern described 248 above, applications lateral to the IPN or in the caudal part of mRaphe did not label any neurons 249 in the habenular complex. The results also confirm a moderate projection of the ventral nuclei to 250 IHYP. Applications restricted to the preoptic area and suprachiasmatic hypothalamic nucleus – 251 just rostral of lHYP – or the ventral thalamus – just dorsal of lHYP – did not produce any 252 retrograde labeling in the habenular complex. Additionally, retrograde labeling experiments 253 suggest a strong projection of the rostral ventral habenular nuclei to mRaphe. Finally, it should 254 be noted that retrograde labeling in the rostral dorsal habenula was strongest in the tracer 255 application that involved the dorsal IPN, which is hard to reach when applying crystalline 256 biocytin on the surface of the brain.

257

258 4. Discussion

Figure 5 summarizes the axonal projections of the habenular complex in the adult fire-bellied toad. The variation in axonal projections between habenular nuclei involves both left-right differences in innervation of the IPN and differences between the dorsal and ventral nuclei in innervation of 1HYP. The results also suggest extensive overlap of axonal projections between nuclei. The morphological asymmetry of the anuran habenular complex detected previously (Kemali and Làzàr 1985; Guglielmotti and Fiorino 1998) and in our analysis is restricted to the rostral part of the dorsal nucleus.

266

267 Methodological considerations

The amount of retrograde labeling following biocytin applications depended on the depth of lesions made to the brain surface in the case of crystalline applications, and whether more than one region was included in the application site in all cases. Conclusions reached here were inferred from consistent patterns between different applications and the use of both anterograde and retrograde tracing for confirmation of connections between brain regions.

273 One projection site from the habenular complex that proved difficult to confirm in the 274 fire-bellied toad is lHYP. All anterograde tracing experiments where varicose axons were seen in 275 IHYP or POA, except one, involved retrograde labeling of neurons located in the ventral 276 thalamus. It is possible that retrogradely labeled neurons in the ventral thalamus could send 277 axons to lHYP, rather than neurons of the habenular complex. However, we reject this possibility 278 because tracer injections in the ventral thalamus itself, in its medial or lateral parts, did not result 279 in retrograde labeling in the habenular complex. Overall, evidence from biocytin applications 280 involving the preoptic area, suprachiasmatic nucleus, and IHYP suggest that only the caudal 281 parts of lHYP receive axonal input from the habenular complex, and that this input is limited to 282 the ipsilateral ventral nuclei.

283

284 Comparison of habenular complex efferents across vertebrates

The present study clarified and expanded the known habenular complex axonal projection sites in anuran amphibians. If the results in the fire-bellied toad are broadly applicable to the situation in other anurans, then in addition to the IPN (Kemali and Làzàr 1985; Kuan et al. 2007), the anuran habenular complex also targets IHYP, the posterior tuberculum/dorsal hypothalamus region, rostral vTEG, and rostral mRaphe. The projection to mRaphe was anticipated from the finding of habenula axons projecting beyond the IPN in *Rana esculenta* by Kemali and Làzàr

291 (1985). The results in fire-belied toad also suggest that the topography of habenular complex 292 axonal projections to IPN is variable within amphibians. The symmetric IPN innervation by right 293 and left dorsal habenulae shown by Kuan et al. (2007) differs from the IPN dorsoventral 294 innervation topography of the rostral habenular nuclei seen here. Since Kuan et al. (2007) studied 295 larval amphibians and newborn mice, it is unclear if this difference is due to ontogenetic 296 difference in IPN innervation patterns or species differences. Nevertheless, this finding suggests 297 that a distinct dorsoventral patterning of left and right habenular projections to IPN is not unique 298 to teleosts. However, our confirmation of distinct rostral habenular projections to the ventral and 299 dorsal IPN obtained by anterograde labeling in the fire-bellied toad is limited to retrograde 300 labeling from a single application to the deep IPN and two intracellular injections. Further 301 experiments such as tracer applications restricted to the dorsal and ventral IPN would be needed 302 for convincing confirmation of the dorsoventral asymmetry of this projection in adult 303 amphibians.

304 Considering that the habenular complex and its projections are thought of as conservative 305 features of the brain across vertebrates, the plesiomorphic (or basal) state of habenular projection 306 patterns should be easy to determine. However, when comparing known axonal targets of the 307 habenular complex across vertebrates in a phylogenetic context (Table 3), some difficulties arise. 308 Namely, there are fewer targets, and distinct targets between habenular nuclei, in lampreys and 309 teleosts compared to amphibians (see Table 3 for references). Additionally, both habenular 310 nuclei show differences in axonal targets across groups, arguing against the proposal of Bianco 311 and Wilson (2009) suggesting that medial habenular nuclei show a more conservative 312 evolutionary pattern in vertebrates. A reconsideration of the habenular complex as a vertebrate 313 brain region with more labile axonal connections invites different evolutionary scenarios. In one

314 scenario, the patterns of habenular complex efferents in fish could be derived features evolved 315 from more widespread ancestral habenular projections, the latter of which would have been kept 316 in amphibians. Habenular complex projections are especially simpler in teleosts, where they are 317 limited to a single brain region for each habenula nucleus (Amo et al. 2010). The divergent 318 topography of habenular projections to IPN between lampreys (rostrocaudal topography) and 319 teleosts (dorsoventral topography) also suggests that habenular projection patterns in fish saw 320 some evolutionary changes. In an alternative scenario, ancestral amphibians would have gained 321 many habenula axonal targets compared to the simpler situation in fish, and from there evolution 322 would have again refined the projections of the medial and lateral habenular nuclei to a set of 323 specific brain regions in amniotes (here, lizards and rodents for which we have data). A final 324 evolutionary scenario posits that the lineage leading to modern amphibians, not the common 325 ancestor of tetrapods, would have gained many habenula axonal targets. In this regard, it is 326 interesting to note the similarities of habenular complex projections between lampreys and 327 amniotes. Stephenson-Jones et al. (2012) showed that the habenular nuclei in the river lamprey 328 (Lampetra fluviatilis) have similarly broad brain targets as in amniotes, with the exception of 329 projections to the raphe, which are absent. In this final scenario, the habenular complex in basal 330 vertebrates would have targeted many brain regions with a distinct pattern between nuclei 331 somewhat similar to the situation in lampreys and amniotes, and from there teleosts saw a 332 reduction in habenula targets, while modern amphibians saw an increase in habenula targets for 333 both the dorsal and ventral nuclei.

334

335 Conclusion

336 The present study expanded the known habenular projection targets in anuran amphibians to 337 include the hypothalamus, tegmentum and median raphe. The breadth of axonal targets shown 338 for both habenular nuclei in the fire-bellied toad is unique among vertebrates that have been 339 studied so far and presents a problem for establishing a plausible scenario for the evolution of 340 habenular complex efferents. The finding suggests that conservation of habenular complex 341 connectivity among vertebrates could have been overestimated, and opens questions about the 342 evolution of this brain structure. More research on the connectivity and neurochemistry of 343 habenular nuclei in animals strategically placed in vertebrate phylogeny will be needed to 344 elucidate this problem. In the meantime, the use of caution is suggested when proposing 345 homologies between brain nuclei in different groups even when dealing with a seemingly 346 conserved structure such as the habenular complex.

347

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444 Figures





446 Figure 1. Anatomy of the habenular complex in the fire-belied toad. This specimen (R17) 447 received a large application of biocytin covering part of the ventral tegmentum as well as the 448 dorsoventral extent of the interpeduncular nucleus and rostral raphe median. Retrograde labeling 449 resulting from this application is seen in black, which allowed a clear outline of the extent of the 450 habenular complex. Levels of section in A-E are shown in the lower left inset of a dorsal view of 451 the fire-bellied toad brain. Panel F shows the middle level of the habenular complex between 452 sections B and C at a higher magnification, outlining the different habenular nuclei and their 453 divisions. Damage in the left ventral habenula nucleus in panel B is from a post-fixation artifact.

- 454 Abbreviations: AT: anterior thalamic nucleus, CT: central thalamic nucleus, dHb: dorsal
- 455 habenula nucleus, lat dHb: laterodorsal habenula subnucleus, lHYP: lateral hypothalamic
- 456 nucleus, LT: lateral thalamic nucleus, med dHb: mediodorsal habenula subnucleus, MP: medial
- 457 pallium, POA: preoptic area, SCN: suprachiasmatic nucleus, TE: thalamic eminence, vHb:
- 458 ventral habenula nucleus, VL: ventrolateral thalamic nucleus, VT: ventral thalamic nucleus, 2sp:
- 459 second spinal nerve.



462 Figure 2. Representative example of the axonal projection pattern of the habenular complex in
463 the fire-bellied toad. This specimen (Hb7) received an application of biocytin that covered a
464 large part of the left dorsal and ventral nuclei (A-B, B'). Anterograde labeling resulting from this

465	application is enlarged for clarity (C-G). Levels of section in A-G are shown in the lower left
466	diagram of a dorsal view of the fire-bellied toad brain. Abbreviations: AT: anterior thalamic
467	nucleus, cCB: caudal cerebellum, cT: caudal thalamus, dHb: dorsal habenula nucleus, dHYP:
468	dorsal hypothalamus, Hb: habenula, IPN: interpeduncular nucleus, MR: raphe median, OT: optic
469	tectum, SCN: suprachiasmatic nucleus, TP: posterior tuberculum, vHb: ventral habenula nucleus,
470	vHYP: ventral hypothalamus, VT: ventral thalamic nucleus, vTEG: ventral tegmentum, 2sp:
471	second spinal nerve.



474 Figure 3. Axonal projection sites of the habenular complex in the fire-bellied toad. From rostral

475 to caudal, A: Lateral hypothalamus, B: Region of the posterior tuberculum and dorsal

476 hypothalamus, C: Ventral tegmentum, D: Dorsal interpeduncular nucleus, E: Ventral

477	interpeduncular nucleus, and F: Median raphe. Location of the main micrographs is indicated by
478	boxes on schematic transverse brain sections in the right bottom corner of each panel. Location
479	in panel E is the same as in D, but in a different animal. High power micrographs showing
480	examples of axonal varicosities (arrowheads) are in the insets in the lower left of each panel.
481	Scale bars are 0.5 mm in main micrographs and 0.05 mm in insets. The specimens from which
482	the micrographs were taken are Hb 11 (A), Hb 7 (B and C), Hb 12 (D), Hb 6 (E), and Hb 1 (F).
483	See Table 1 for a full description of axonal projection sites.



Figure 4. Intracellular labeling of habenular complex neurons. A: Neuron cell body and proximal
dendrite in the rostral part of the left dorsal habenula (top panel) and an axon targeting the dorsal
interpeduncular nucleus (arrowheads in bottom panel). B: Two neuron cell bodies in close

489 proximity and their dendrites in the neuropil of the rostral part of the right dorsal habenula (top 490 panel) and a faintly labeled axon targeting the ventral interpeduncular nucleus (arrowheads in 491 bottom panel). Location of micrographs is indicated by boxes on schematic transverse brain 492 sections in the right column of the figure. The cresyl violet counterstaining procedure did not 493 function properly in A due to wax contamination of the solvent used to prepare the slides. Scale 494 bars are 0.1 mm in all micrographs.



497 Figure 5. Schematic summary of the efferent axonal projections of the habenular complex in the 498 adult fire-bellied toad. Projections that are shared between nuclei are illustrated using hatched 499 gray lines and circles, while projections tentatively attributed to specific nuclei are illustrated 500 using black lines. In the figure, the rostrocaudal axis of both halves of the habenular complex 501 goes from left to right. The mediolateral axis of the habenula is not shown. Abbreviations: c: 502 caudal, d: dorsal, dHYP: dorsal hypothalamic nucleus, dIPN: dorsal part of the interpeduncular 503 nucleus, Hb: habenula, lHYP: lateral hypothalamic nucleus, MR: raphe median, r: rostral, v: 504 ventral, vIPN: ventral part of the interpeduncular nucleus, vTEG: ventral tegmentum.



507 Figure S1. Illustration of the qualitative scale of retrograde labeling intensity used in Table 2. 508 This specimen (R16) received a crystalline biocytin application on the lesioned surface of the 509 interpeduncular nucleus. Panel A shows weak retrograde labeling on both sides of the rostral 510 dorsal and ventral habenular nuclei, with only a few black cell bodies visible. At a middle 511 rostrocaudal level of the habenular complex, panel B shows moderate retrograde labeling on both 512 sides of the dorsal nuclei and strong retrograde labeling on both sides of the ventral nuclei. 513 Abbreviations: dHb: dorsal habenula nucleus, rHb: rostral habenula, vHb: ventral habenula 514 nucleus.





517 Figure S2. Extent of biocytin applications sites in the habenular complex of the fire-bellied toad. 518 Axonal projections targets of these applications are described in Table 1. Two series of schematic transverse brain sections of the habenular complex and different tones of gray are used 519 to distinguish between application sites of 16 different anterograde tracing experiments. Each 520 521 experiment involved a different toad. Some applications involved small parts of the medial 522 pallium or underlying thalamic nuclei. Also note that some applications covered a broader 523 rostrocaudal extent of the habenular complex than others. See Figure 1 for approximate levels of 524 section.



527 Figure S3. Extent of biocytin applications sites in brain regions outside of the habenular complex 528 in the fire-bellied toad. Retrograde labeling in the habenular complex following these 529 applications is described in Table 2. Schematic transverse brain sections of the habenular 530 complex and different tones of gray are used to distinguish between application sites of 21 531 different retrograde tracing experiments. Each experiment involved a different toad. Levels of 532 section in A-I are shown in the upper right diagram of a dorsal view of the fire-bellied toad brain. 533 Note that some levels of section are illustrated more than once to show multiple applications 534 targeted to the same general region.

536 Table 1: Axonal projections sites in the brain after biocytin applications to the habenular complex of the fire-bellied toad. Additional

537 regions or tract touched by the applications are indicated in parentheses. Applications to the right habenula are listed above, those to 538 the left below.

ID	Application site	Hb	POA	lHYP	TP/dHYP	mT	cPT	vTEG	IPN	mRaphe
Hb1	right, whole Hb ¹ (oht, some AT)	contra (d, v)	+	+	+	+	+	+	+ (+v, d)	+ (v, d)
Hb2	right, rostral Hb	,			+			+	+ (v)	+ (v)
Hb3	right, mid-dHb (oht, some left POA)	contra (d)						?	+ (v)	+ (v)
Hb4	right, mid-dHb (some oht)	contra (d, v)	+	+	+			+	+ (+v, d)	+ (v)
Hb5	right, caudal dHb (oht)				+			+	+ (v)	+ (v)
Hb6	right, caudal dHb (oht, some caudal vHb)	contra (d)			+			+	+ (v)	+ (v)
Hb7	left, whole Hb (oht)	contra (+/-, d)			+			+	+ (v, d)	+ (v)
Hb8	left, vHb (oht)	contra (d, v)		+	+			+	+ (v, +d)	+ (v, d)
Hb9	left, rostral vHb (oht)	ipsi (+/-, d)			+/-			+/-	-	+/- (v)
Hb10	left, rostral Hb (some MP)	contra (d)		+	+			+	+ (v, d)	+ (v)
Hb11	left, rostral Hb ¹ (some AT)	contra (d, +v)	+	+	+	+	+	+	+ (v, +d)	+ (v, d)
Hb12	left, rostral dHb (some CT)	contra (+/-, d)	?	+/-	+	+		+	+ (d)	+ (v)
Hb13	left, rostral dHb (some AT/TE)	contra (d)	+	+	+	+	+	+	+ (v, +d)	+/- (v)
Hb14	left, rostral dHb (some VT and POA)		?	+/-	+	+		+	+ (v, +d)	+/- (v)
Hb15	left, caudal dHb	contra (d, v)			+			+	+ (v)	+ (v)
Hb16	left, caudal dHb (small application)				+			+	+ (v)	+ (v)

539

540

541 ¹Ascending projection to pallium. Legend: +: notable axonal projection, +/-: weak axonal projection, ?: unable to assess if axons make contact in region.

Abbreviations: AT: anterior thalamic nucleus, cPT: commissural pretectum, contra: contralateral side of application, CT: central thalamic nucleus, d: dorsal part of brain region, Hb: habenula, HYP: hypothalamus, IPN: interpeduncular nucleus, ipsi: ipsilateral of application, l: lateral part of brain region, MP: medial

543 of brain region, Hb: habenula, HYP: hypothalamus, IPN: interpeduncular nucleus, ipsi: ipsilateral of application, l: lateral part of brain region, MP: medial 544 pallium, mRaphe: raphe median, mT: median thalamic neuropil, oht: olfacto-habenular tract, POA: preoptic area, TE: thalamic eminence, TEG: tegmentum, TP:

545 tuberculum posterius, v: ventral part of brain region, VT: ventral thalamic nucleus.

546 Table 2: Retrograde labeling in the fire-bellied toad habenular complex after biocytin

- 547 applications to selected brain regions.
- 548

ID	Application site ¹	Application method	Rostral Hb	Middle Hb	Caudal Hb
R1	Left POA + rostral SCN	Ι	-	-	-
R2	Left rostral SCN	Ι	-	-	-
R3	Left POA + SCN + lHYP	Ι	+ (vHb) +/- (dHb)	+ (vHb)	+/- (vHb)
R4	Left POA + SCN + lHYP	Ι	+ (vHb)	+ (vHb)	+ (vHb)
R5	Left lHYP + SCN (mfb)	Ι	+ (vHb)	+ (vHb)	-
R6	Right lHYP (mfb)	Ι	+ (vHb)	+ (vHb)	+/- (vHb)
R7	Right SCN + lHYP	Ι	+ (vHb)	-	-
R8	Left VL (lfb)	Ι	-	-	-
R9	Left VL (lfb)	I	-	-	-
R10	Left VT	Ι	-	-	-
R11	Left VT	Ι	-	-	-
R12	Left vTEG	С	-	+++	+++
R13	Right IPN (some vTEG)	С	-	+	+++
R14	IPN	С	-	+ (vHb) +/- (dHb)	+++
R15	IPN	С	-	+++ (vHb) + (dHb)	+++
R16	IPN	С	+/-	+++ (vHb) + (dHb)	+++
R17	IPN + vTEG + rostral mRaphe ²	С	+++ (left dHb) + (vHb, right dHb)	+++	+++
R18	mRaphe	С	+++ (vHb) +/- (dHb)	+++ (vHb) + (dHb)	+++
R19	mRaphe + lMO	С	+++ (vHb) +/- (dHb)	+++ (vHb) + (dHb)	+++
R20	Left, lateral of mRaphe and IPN	С	-	-	-
R21	Caudal mRaphe	С	-	-	-

⁵⁴⁹

²Deep lesion that spanned the whole dorsoventral extent of IPN and Raphe.

553 554 Legend: +++: strong retrograde labeling, +: moderate, +/-: weak, -: none.

Abbreviations: C: crystalline biocytin application to lesioned brain surface, dHb: dorsal habenula, Hb: habenula, I:

557 iontophoretic injection of biocytin solution, IPN: interpeduncular nucleus, ipsi: ipsilateral side of application, IHYP:

558 lateral hypothalamus, lfb: lateral forebrain bundle was labeled, IMO: lateral medulla oblongata, mfb: medial

559 forebrain bundle was labeled, mRaphe: raphe median, POA: preoptic area, SCN: suprachiasmatic nucleus, vHb:

ventral habenula, vTEG: ventral tegmentum, VL: ventrolateral thalamic nucleus, VT: ventral thalamic nucleus.

¹Note that IHYP and vTEG applications labeled mostly ipsilateral cells in Hb, while applications including IPN or mRaphe labeled cells on both right and left sides in Hb.

562	Table 3: Comparison of main habenular complex axonal projections sites across vertebrates.
563	

							564
Crearry	Hb division	Axonal target				D C 565	
Group		HYP	TP/vTEG	IPN	Raphe	- References	566
Anuran	vHb (lHb?)	+	+	$+^{1}$	+	present study; Kemali and Làzàr 1985; Kuar	n et al.
amphibians	dHb (mHb?)		+	$+^{1,2}$	+	2007	
Urodele	dHb			+2		Kuan et al. 2007	
Lampreys	rd/rv Hb (lHb) lf/rm Hb (mHb)	+	+	+3		Yanez and Anadon 1994; Stephenson-Jones	et al. 2012
Teleost fishes	vHb (lHb) dHb (mHb)			$+^{1}$	+	Aizawa et al. 2005; Amo et al. 2010; Villaló 2012	on et al.
Lizards	lHb	+	+		+	Distel and Ebbesson 1981; Díaz and Puelles	1992
	mHb			+	+		
Rodents	lHb mHb	+	+	weak +2	+ ?	Herkenham and Nauta 1979; Kuan et al. 200 and Wilson 2008; Kim 2009; Quina et al. 20)7; Bianco)14

578 579 580 581 ¹Left and right Hb target dorsal and ventral IPN, respectively; ²Symmetric innervation of IPN by left and right Hb; ³Left and right mHb target rostral and caudal

IPN, respectively.

Abbreviations: d: dorsal part of brain region, Hb: habenula, HYP: hypothalamus, IPN: interpeduncular nucleus, l: lateral part of brain region, lf/rm Hb: lamprey

582 583 left/right middle habenula, m: medial part of brain region, Raphe: raphe median, rd/rv Hb: lamprey right dorsal/ventral habenula, TEG: tegmentum, TP:

tuberculum posterius, v: ventral part of brain region.